

# CSCI 5444: Introduction to Theory of Computation

Lecture 01: Introduction

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# Logistics

- Web-page: <http://home.cs.colorado.edu/~alko5368/index54>
- Instructor and Grading Assistant
  - Alexandra Kolla (Alexandra.Kolla@colorado.edu)
  - Charles Carlson (chca0914@colorado.edu)
- Lectures
  - Tuesday (11:30am – 12:15am)
  - Thursday (11:30am – 12:15am)
- Office hours
  - TBD
  - By appointment
- Venue
  - Class: HUMN 1B90
  - Office hours: ECCS 122

**CSCI 5444: Introduction to the Theory of Computation**

**Logistics**

- **Instructor:** Ashutosh Trivedi (ashutosh.trivedi@colorado.edu)
- **Grading Assistant:** Juraj Culik (juraj.culik@colorado.edu)
- **Course URL:** <https://tinyurl.com/csci5444-18>
- **Course description:**
  - Introduces the **foundations of automata theory, computability theory, and complexity theory.**
  - Shows relationship between **automata and formal languages.**
  - Addresses the issue of which problems can be solved by computational means (**decidability vs undecidability**), and introduces concepts related to **computational complexity** of problems.
- **Requisites:**
  - Discrete Structures/ Discrete Mathematics
  - Undergraduate Algorithms
- **Class Meeting Times:** Tuesday (9:30am–10:45am) and Thursday (9:30am–10:45am)
- **Office hours:**
  - Wednesday 10:00am–11:00am ECCS 112
  - Wednesday 11:00am–noon, over Zoom (for the Distance Section)
- **Venue:**
  - Class meeting location: HUMN 1B90
  - Distance Learning Videos: available via [Moodle](#)
  - Zoom:
    - Meeting ID: 419-848-637



# Logistics (Contd.)

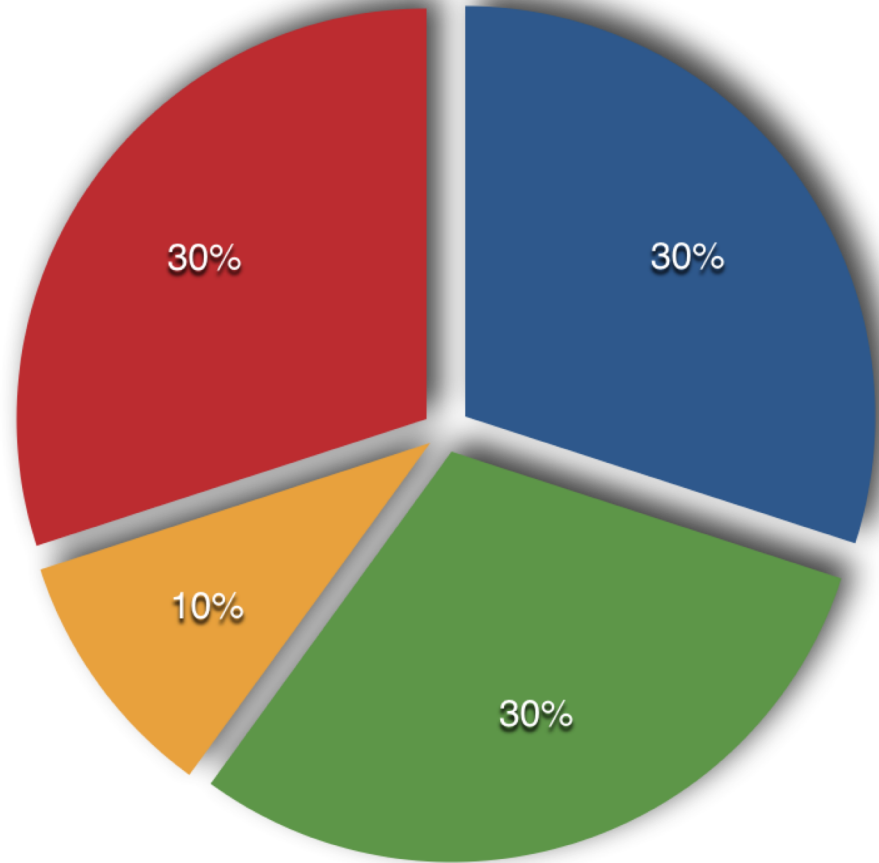
- Requisite
  - Discrete Structures (CSCI 2824) / Discrete Mathematics
  - UG Algorithms (CSCI 3104)
- Textbook
  - *Michael Sipser*. Introduction to the Theory of Computation, PWS Publishing Company.
- Other supplemental materials
  - [Automata and Computability](#), Dexter C. Kozen
  - [Automata Theory, Languages, and Computation](#), Hopcroft, Motwani, and Ullman (3rd edition).
  - [Elements of the theory of computation](#), Lewis and Papadimitriou (2nd edition).
  - Descriptive Complexity, Neil Immerman
  - Elements of Finite Model Theory, Leonid Libkin
  - Computational Complexity, Sanjeev Arora and Boaz Barak
  - Online notes and readings distributed by instructor

# Logistics (Contd.)

- Zoom
- Moodle
  - All assignments will be posted on moodle.
  - Your identikey is needed for signing in.

# Logistics: Grading

- Quizzes
- Class Participation
- Final Exam/Presentations
- Weekly Assignments

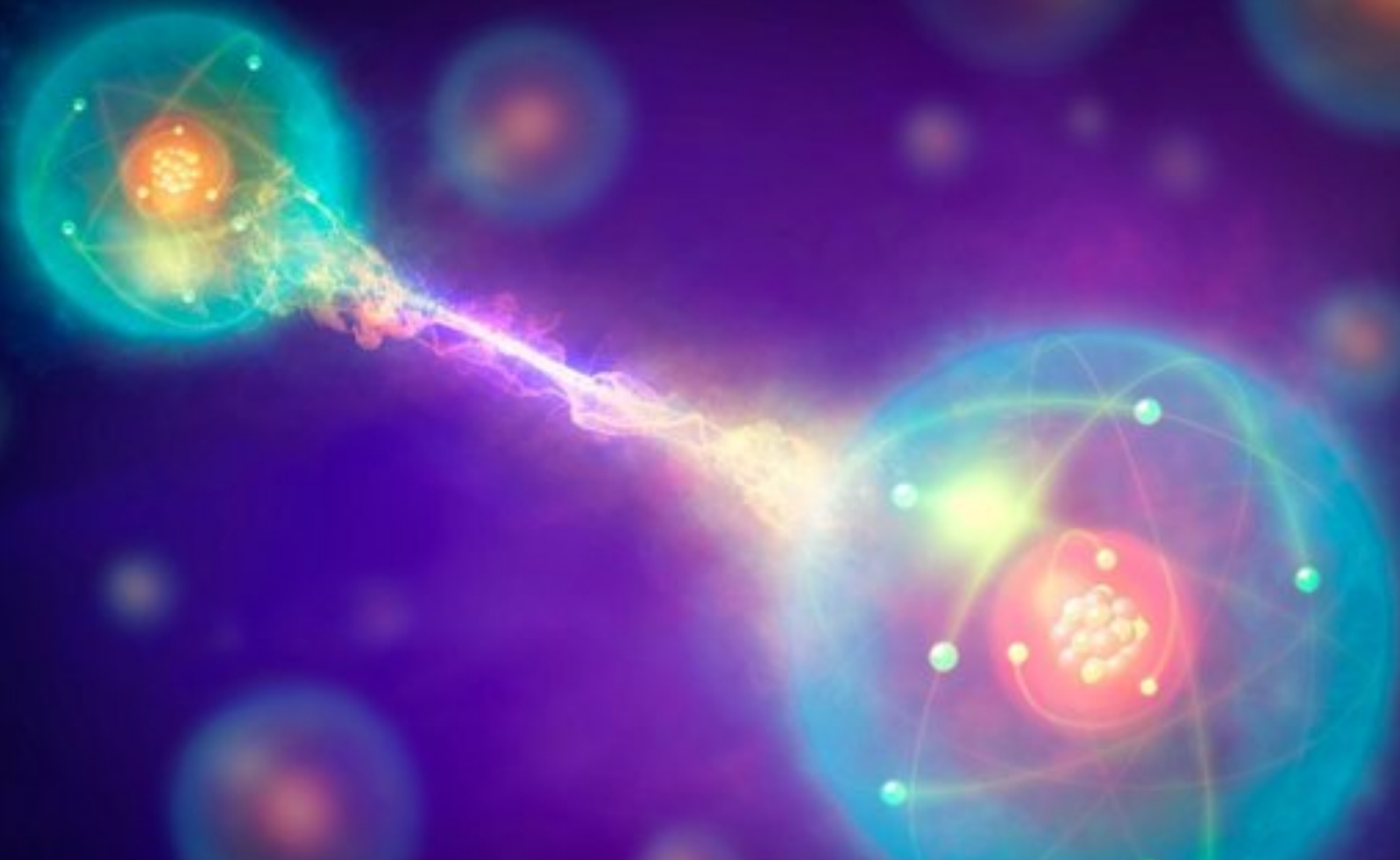


Find this week's assignment on The website (moodle to come shortly)

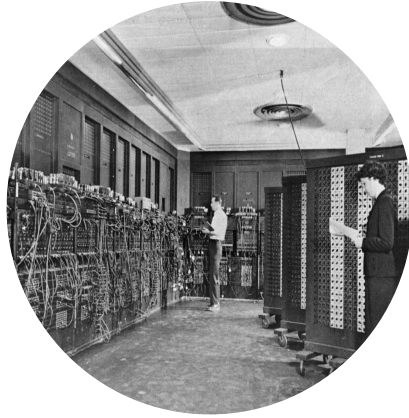
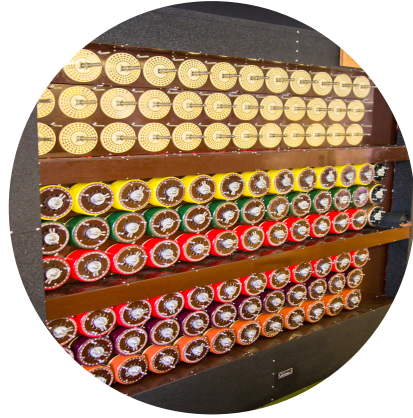
# *Theory of Computation*

*What are the fundamental capabilities and limitations of computers?*





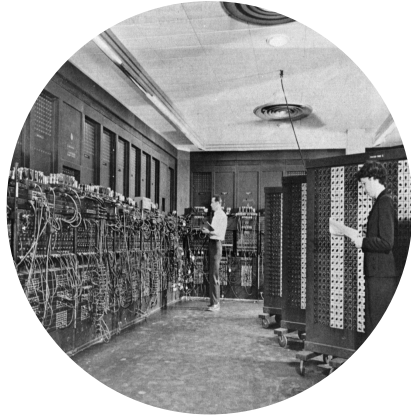
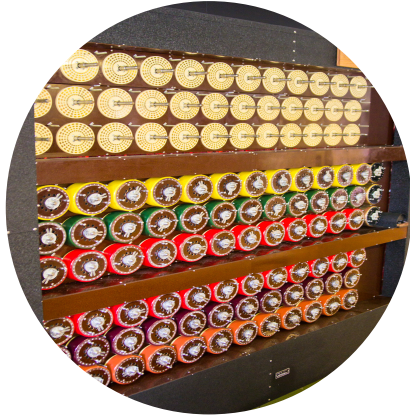




*What are the fundamental capabilities and limitations of computation?*

- What do we mean by computation?
- What is a problem?
- Are all problems computable?
- What is an “efficient” computation?
- Are some problems inherently more difficult than others?





*What are the fundamental capabilities and limitations of computers?*

- How do we model “computational machines”?
- Are all computational machines **equally powerful**?
- Why should we study computationally weaker models?
- Why a practically-oriented computer-programmer should learn theory of computation?

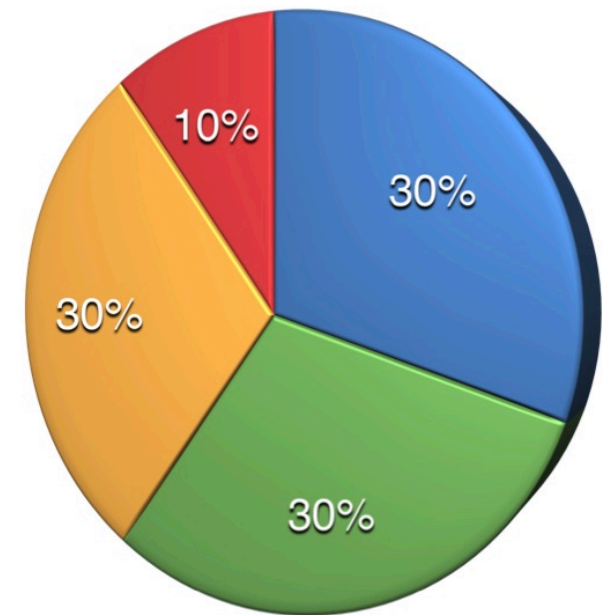
# Theory of Computation

## Automata Theory

- Formalization of the notion of problems via **formal languages**
- Formalization of the notion of computation using "abstract computing devices" called **automata**
- Understanding a hierarchy of classes of problems or formal languages (regular, context-free, context-sensitive, decidable, and undecidable)
- Understanding a hierarchy of classes of automata (finite automata, pushdown automata, and **Turing machines**)
- Understanding applications to pattern matching, parsing, and programming languages

## Computability Theory

## Complexity Theory



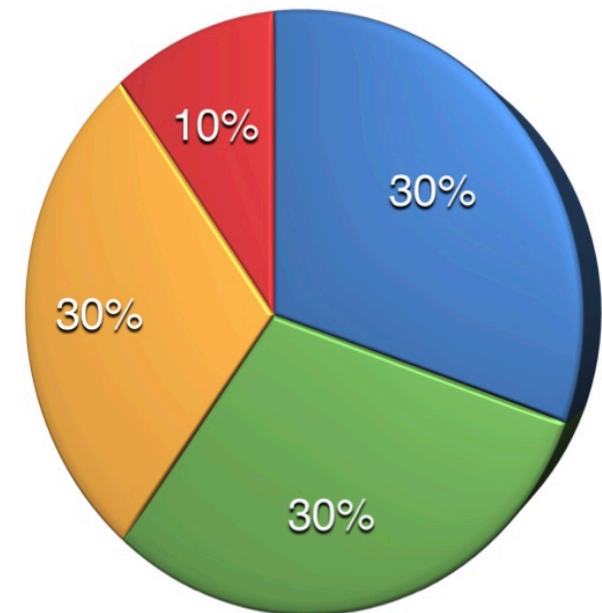
# Theory of Computation

## Automata Theory

### Computability Theory

- Understanding **Church-Turing thesis** (Turing machines as a notion of "general-purpose computers")
- Understanding the concept of **reduction**, i.e., solving a problem using a solution (abstract device) for a different problem
- Understanding the concept of **undecidability**, i.e., when a problem can not be solved using computers

## Complexity Theory



# Theory of Computation

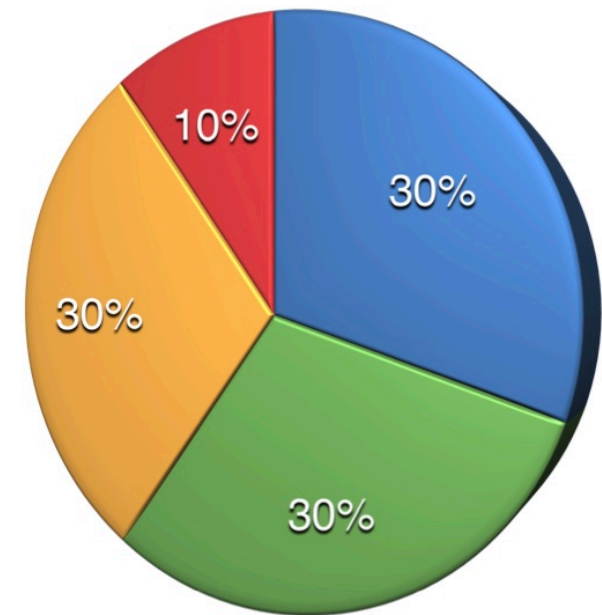
Automata Theory

Computability Theory

Complexity Theory

- **Complexity classes** : how to classify decidable problems based on their time and space requirements
- Complexity classes P and NP
- When a problem is called **intractable** (NP-completeness)
- Using reductions to prove problems intractable
- Space-complexity classes L and NL, PSPACE, and so on

● Automata Theory    ● Computability Theory  
● Complexity Theory    ● Special Topics



# Theory of Computation: (Rough) Schedule

- Week 1 – Week 5 : Automata Theory (In-Class Quiz I)
- Week 6 – Week 10: Computability Theory (In-Class Quiz II)
- Week 11 – Week 15: Complexity Theory (In-Class Quiz III)
- Week 15 – Week 16: Special Topics

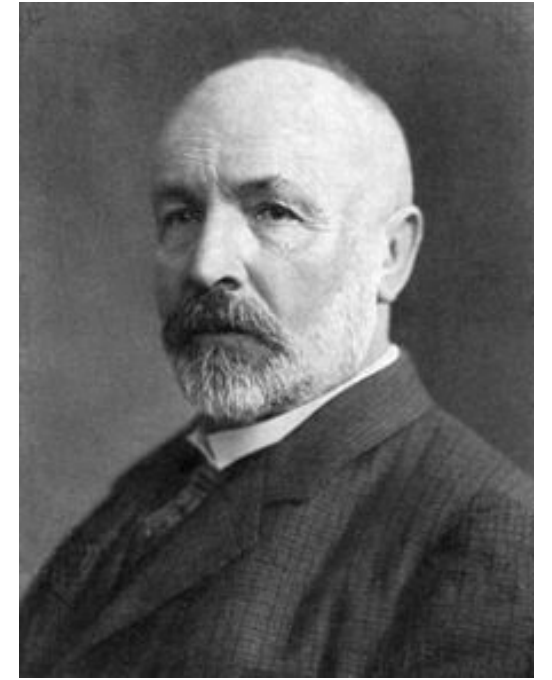
# Special Topics

- Randomized Computation and Complexity
- Quantum Computation and Complexity
- Approximate Computation and Complexity
- Historical paper review
- Other? (suggestions welcome)

# Discrete Mathematics: Review

# Discrete Mathematics: Review

- A **set** is a **collection of objects**, e.g.
  - $A = \{a, b, c, d\}$  and  $B = \{b, d\}$
  - Empty set  $\emptyset = \{\}$  (why it is not same as  $\{\emptyset\}$ )
  - $\mathbb{N} = \{0, 1, 2, 3, \dots\}$  and  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
  - $\mathbb{Q}$  is the set of rational numbers.
  - $\mathbb{R}$  is the set of real numbers.
- $a \in A$  : **element** of a set, **belongs to**, or **contains**
- **Subset** of  $A \subseteq \mathbb{N}$ , or **proper subset** of  $A \subset \mathbb{N}$
- Notions of set **union**, **intersection**, **difference**, and **disjoint**
- Power set  $2^A$  of a set  $A$  (example)
- Partition of a set



Georg Cantor  
March 3, 1845 – January 6, 1918



# Discrete Mathematics: Review (Contd.)

- A **ordered pair** is a pair  $(a, b)$  of elements with natural order
- Similarly we define triplet, quadruplet,  $n$ -tuples, and so on
- **Cartesian product**  $A \times B$  of two sets is the set of ordered pairs
$$A \times B = \{(a, b) : a \in A \text{ and } b \in B\}$$
- **Binary relation**  $R$  on two sets  $A$  and  $B$  is a subset of  $A \times B$
- Recall definitions of
  - **Reflexive**, **Symmetric**, and **Transitive** relations,
  - and **Equivalence relation**.

# Discrete Mathematics: Review (Contd.)

- A **function** (or mapping)  $f$  from set  $A$  to  $B$  is a **binary relation s.t.** for all  $a \in A$  we have that  $(a, b) \in f$  and  $(a, b') \in f$  implies that  $b = b'$ .
- We often write  $f(a)$  for the unique element  $b$  such that  $(a, b) \in f$ .
- Function  $f: A \rightarrow B$  is **one-to-one** if for any two distinct elements  $a, b \in A$  we have that  $f(a) \neq f(b)$ .
- Function  $f: A \rightarrow B$  is **onto** if for every element  $b \in B$  there is an element  $a \in A$  such that  $f(a) = b$ .
- Function  $f: A \rightarrow B$  is called **bijection** if it is both **one-to-one** and **onto**.

# Cardinality of a Set

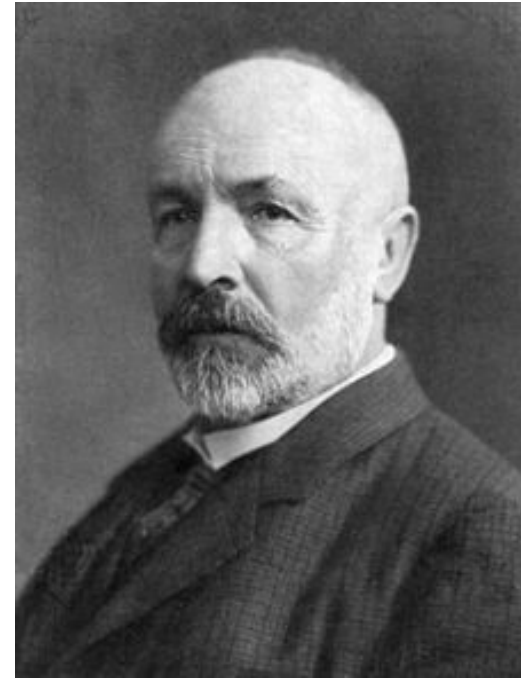
- **Cardinality**  $|S|$  of a set  $S$ , e.g.  $|A| = 4$  and  $|\mathbb{N}|$  is an infinite number.
- Two sets have **same cardinality** if there is a **bijection** between them.
- A set is **countably infinite** (or denumerable) if it has same cardinality as  $\mathbb{N}$ .
- A set is **countable** if it is either **finite** or **countably infinite**.
- A **transfinite number** is a **cardinality** of some **infinite set**.

# Theorem: Cardinality

## Theorem

1. *The set of integers is countably infinite. (idea: interlacing)*
2. *The union of a finite number of countably infinite sets is countably infinite as well. (idea: dove-tailing)*
3. *The union of a countably infinite number of countably infinite sets is countably infinite.*
4. *The set of rational numbers is countably infinite.*
5. *The power set of the set of natural numbers has a greater cardinality than itself. (idea: contradiction, diagonalization)*

# Cantor's Theorem

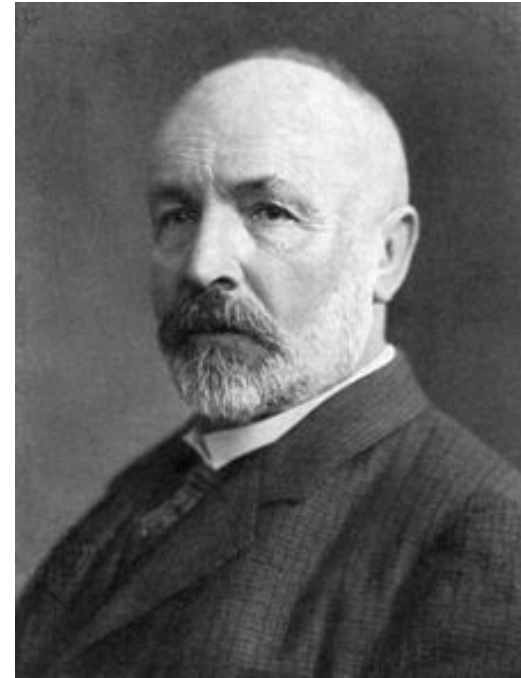


*Theorem. If a set  $S$  is of any infinite cardinality then its power set  $2^S$  has a greater cardinality, i.e.  $|2^S| > |S|$ .*

*(hint: happy, sad sets).*

*Corollary. There is an infinite series of infinite cardinals.*

# Cantor's Theorem



Theorem. *There is an infinite series of infinite cardinals.*

“a "grave disease" infecting the discipline of mathematics” —*Henri Poincaré*

“ "I don't know what predominates in Cantor's theory – philosophy or theology, but I am sure that there is no mathematics there" — Leopold Kronecker

“*Most admirable flower of mathematical intellect*” — *David Hilbert*